

Date: January 29, 2014

To: Eddy Souffrant, Faculty President

From: Brian Cooper, Chair, FAPSC

Re: Motions to revise four policies affected by the new Withdrawals policy

Appendices:

**Motion 1:** Policy - Readmission of Former Students revised 2013-09-20.doc

**Motion 2:** Policy - Registration revised 2013-10-11.doc

**Motion 3:** Policy - Termination of Enrollment revised 2013-11-15.doc

**Motion 4:** Policy - Grading revised 2013-11-15.doc

**Fostering Undergraduate Student Success regulations:** Transmittal #83.pdf

**General Background.** The Faculty Academic Policy and Standards Committee has identified four existing academic policies that must be revised to be consistent with the new Withdrawals policy that was approved by Faculty Council on September 26, 2013. Each is presented below as a separate motion supported by an appended Word document with our recommended revisions shown as tracked changes. In our judgment, all proposed changes are consistent with the regulations from UNC General Administration (also appended) that implement the system-wide "Fostering Undergraduate Student Success" policy. While developing this policy and its regulations, General Administration ("GA") considered the recommendations of a system-wide committee chaired by our own Provost Lorden.

**Motion 1: Readmission of Former Students.** At our September 20, 2013 meeting, FAPSC voted 9–1 to recommend that the Readmission of Former Students policy be revised to indicate that readmission under our existing forgiveness policy (via the "Associate Degree Rule" or the "Two-Year Rule") also resets a student's career W-limit hours. The dissenting member feels that allowing another 16 hours of W's to such students is too generous and suspects that GA may ultimately decide to forbid this. But Provost Lorden has shared her opinion that GA expects that campus forgiveness policies may reset the W-limit, and we fear that not doing so may unnecessarily cause potentially successful students to transfer.

**Motion 2: Registration.** At our November 15, 2013 meeting, FAPSC voted unanimously to recommend revisions to the Registration policy that were written on October 11, 2013 by a committee of the whole (at a meeting for which we did not achieve a quorum). The main proposed change is to delete four paragraphs on withdrawing and refer instead to the new Withdrawals policy.

**Motion 3: Termination of Enrollment.** At our November 15, 2013 meeting, FAPSC voted unanimously to recommend substantial revisions to the Termination of Enrollment policy. The proposed changes mostly delete the "Termination by the Student" section, and refer students to the Registration policy (for drops before the add/drop deadline) or to the new Withdrawals policy (for withdrawals after the add/drop deadline). They also clarify the "Termination by the University" section, and refer suspended students to the Readmission of Former Students policy.

**Motion 4: Grading.** At our November 15, 2013 meeting, FAPSC voted unanimously to recommend revisions to the Grading policy. (Recall that Faculty Council recently approved a more urgent change to this policy, on September 26, 2013.) The proposed changes include a revised description of the "W" grade that also refers students to the new Withdrawals policy and the addition of the "WE" grade (which is technically a W grade with an annotation for "extenuating circumstances") to the summary table of undergraduate grades.

**Note.** FAPSC also encourages Academic Affairs to update the cross-referenced "Related Policies" in their web listing for each of these policies.



# TRANSMITTAL LETTER

The University of North Carolina  
*General Administration*

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Transmittal Number 83  
May 2, 2013

## THIS LETTER TRANSMITS CHANGES TO THE *UNC POLICY MANUAL*

400.1.5[R]      *Regulations Related to Fostering Undergraduate Student Success*  
These Regulations were developed in accordance with feedback from the campuses and were approved by President Ross on April 29, 2013. (*see attachment*)

400.1.5      *Fostering Undergraduate Student Success*  
This Policy Amended 01/11/13 was transmitted to you on January 24, 2013, per UNC General Administration Transmittal Letter #81. Please be advised that the proper title should read *Fostering Undergraduate Student Success*. (*see attachment*)

Please contact Dr. Suzanne Ortega, Senior Vice President for Academic Affairs, at 919-962-4614 or Dr. Karrie Dixon, Senior Associate Vice President for Academic Affairs at 919-843-5389 if you have any questions.

Table of Contents      Table of Contents updated 04/2013 (please access the table at the link below).

The UNC Policy Manual is accessible at:

<http://www.northcarolina.edu/policy/index.php>

*Attachments*

## Regulations Related to Fostering Undergraduate Student Success

### I. Limiting Hours for Baccalaureate Degree Programs

Baccalaureate degree programs shall be limited to no more than 128 semester credit hours. Any requirement beyond 128 hours must be approved by the Board of Governors. Any program authorized by the Board of Governors to require 135 semester credit hours or more shall be officially designated as a five-year baccalaureate program.

- A. Campuses shall observe these guidelines in all proposals for new degree programs.
- B. Campuses must publicize the required number of semester credit hours and projected length of full-time enrollment required to obtain the baccalaureate degree in both printed and online catalogs, as applicable. During new student orientation sessions and in publications for students and parents, campuses must provide a description of factors that may extend the length of time to complete a degree.

### II. Student Success Policies

#### A. Satisfactory Academic Progress and Good Academic Standing

Satisfactory Academic Progress and Good Academic Standing are determined by:

- Term Grade Point Average
- Cumulative Grade Point Average, and
- Ratio of attempted to completed semester credit hours.

The implementation of these criteria shall include the following:

1. Upon initial admission to a UNC campus, a student is in Good Academic Standing.
2. All undergraduates in the University of North Carolina system must earn and maintain a minimum cumulative GPA of 2.0 to be considered in Good Academic Standing and making Satisfactory Academic Progress.
3. All campuses must develop an academic progress policy that defines the ratio of attempted to earned semester credit hours required for continued enrollment. Federal Title IV regulations for Satisfactory Academic Progress shall be the minimum allowable standard.
4. If a student meets the criteria in each of the these standards above, then the student is considered to be making Satisfactory Academic Progress, remains in Good Academic Standing, and is eligible to continue enrollment at that UNC campus.
5. Campuses may develop policies that allow students falling below one or more of the standards to be placed on academic warning and/or academic probation<sup>1</sup> as opposed to being academically dismissed or academically suspended. These policies must, at a minimum, be in accord with Federal Title IV regulations and should include the use of academic success contracts where appropriate.
6. Campus policies related to this section must be published in all campus academic and financial aid materials, both printed and online. Students should be informed of these policies at new student orientation.

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<sup>1</sup>Campuses are not obligated to enact policies for either academic probation or academic warning below the allowable standards.

B. The Course Adjustment Period (i.e., “Drop/Add”)

The Course Adjustment Period will be established as the time during which students may drop or add courses without academic penalty (i.e., no impact on the Grade Point Average (GPA), attempted hours, or tuition surcharge). Campuses may choose to make the period for adding courses and the period for dropping courses the same or different; however, both the drop and add periods must be concluded by the census date.<sup>2</sup>

The implementation of this section shall include the following:

1. Campuses may set policies that allow faculty to drop students administratively if they do not attend the course by the end of the Course Adjustment Period.<sup>3</sup> These policies must be publicized to students. Faculty using this option must have a limited window to take such action in order to complete the drop without causing the student to incur financial penalties other than those normally applied during the course adjustment period. Campuses may allow faculty to add students into those seats in a timely fashion under guidelines set by the campus.
2. Campus business practices<sup>4</sup> determine if adjustments made during Course Adjustment Period result in any refund or additional charges to the student. Any financial repercussions to students must be publicized in campus academic and financial aid policies describing the Course Adjustment Period.
3. Federal Title IV regulations shall be the minimum standard for all policies related to student refunds during the course adjustment period.

C. Course Withdrawal<sup>5</sup>

Students are expected to complete all the courses for which they are registered at the close of the Course Adjustment Period. These courses must be recorded on a student’s official transcript and receive a grade that is used in the calculation of a GPA, count as attempted hours, count toward the tuition surcharge calculation,<sup>6</sup> and conform to all financial aid and Satisfactory Academic Progress rules *unless* withdrawal is permitted under conditions described below:

1. Course withdrawal with extenuating circumstances
  - a. Campuses will develop policies that permit a student to withdraw from a course or courses at any time and without academic penalty for serious extenuating circumstances, including military deployment. These policies must describe a clear process that defines the documentation required, the nature of the review by a designated campus body or official, and an opportunity for one level of appeal at the campus level.
  - b. Any campus policy developed for course withdrawal for extenuating circumstances must require that:
    - i. a W be recorded on the transcript

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<sup>2</sup>For regular term instruction, the census date is the conclusion of the 10<sup>th</sup> class day of the fall and spring semesters. For summer sessions, degree credit extension courses, and any other degree-credit courses taught on an irregular calendar, the census date is the end of the class day representing the passage of 10 percent of the instructional period. UNC Policy Manual 400.1.8[R]

<sup>3</sup>If the course is offered online, the instructor may administratively drop the student from the course if the student has not signed in by the end of the course adjustment period.

<sup>4</sup>All campus business practices must conform to UNC FIT Student Account Standards.

<sup>5</sup>All campus policies on withdrawal must include policies about refunds and conform to UNC FIT Financial Aid and Student Account Standards.

<sup>6</sup>Summer courses are excluded from the tuition surcharge as per UNC Policy Manual 1000.1.5[G]

- ii. the course(s) count as attempted hours
  - iii. the course(s) not count in tuition surcharge calculations (see Policy Manual 1000.1.5[G])
  - iv. the course(s) not count in GPA calculation
  - v. the course(s) are subject to all financial aid and SAP rules and calculations
2. Course withdrawals without extenuating circumstances
- a. After the initial Course Adjustment Period, campuses may develop policies that allow students to withdraw from one or more courses without meeting the standards for withdrawals for extenuating circumstances. These policies must specify up to four courses or up to 16 semester credit hours as the maximum number of such withdrawals permitted over the course of a student's degree or degrees.
  - b. Any policy developed for course withdrawal without extenuating circumstances must require that:
    - i. a W be recorded on the transcript
    - ii. the course(s) count as attempted hours
    - iii. the course(s) count in surcharge calculations
    - iv. the course(s) are subject to all financial aid and SAP rules and calculations
  - c. Campus policies must include a deadline for such withdrawal at a date no later than the completion of 60% of the term<sup>7</sup>.

Students who must withdraw from a course or courses due to military service should consult the UNC Policy on Military Student Success.

#### D. Course Repeats

Campus policies on course repeats must conform, at the minimum, to Federal Title IV Financial Aid standards with regard to course repeats. Students receiving Federal financial aid cannot be treated differently from students not on such aid.

In addition, all campus policies on course repeats must, at the minimum:

- Include on the student transcript all attempts to complete a course,
- Count all attempts to complete a course in calculations of satisfactory academic progress,
- Count all attempts to complete a course in the tuition surcharge calculation in accordance with Policy Manual 1000.1.5[G], and
- Use all grades earned in a course in the calculation of the GPA, unless the grade can be excluded through a campus-based grade exclusion or replacement policy.

#### E. Forgiveness Policies

Campuses may establish policies that permit a student who is academically dismissed or academically suspended to be readmitted after a specified period of time, have a modified or new GPA calculation, and to be under other specific steps for re-admittance to the campus.

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<sup>7</sup>The calculation of this date should begin with the first day of classes and conclude on the last day of regular class meetings. It should exclude the reading day and exam period.

#### F. Grade Exclusion or Grade Replacement

Campuses must develop policies on grade exclusion and/or grade replacement.<sup>8</sup> These policies must specify up to four courses or up to 16 semester hours as a maximum number of allowable exclusions/replacements.

Campus policies that permit either grade exclusion and/or grade replacement must provide for:

- the inclusion on the transcript of both the initial grade earned for the course and a notation of its exclusion from or replacement in the calculation of the GPA, and
- the inclusion of the course(s) in both the calculations of satisfactory academic progress and the tuition surcharge.

#### G. Minimum, Maximum, and Average Semester Course Load

A minimum “full-time” undergraduate course load is defined as 12 credit hours per semester. In advising and other communications, campuses shall encourage full-time students to consider an average semester load of 15 credit hours, when possible, to stay on track for a timely graduation. Campuses may allow students in good academic standing to enroll in up to 18 semester hours in a fall or spring semester without any special permission. No student shall exceed 18 semester hours in a fall or spring semester without special permission as designated by campus policy. Campuses shall develop appropriate policies for a maximum load in summer terms.

### III. Student Success Review and Reporting

Campuses will establish a student success support structure of one or more committees comprised of the appropriate officials from areas such as admissions, registrar’s office, financial aid, advising, the counseling center, the cashier’s office, faculty governance, and student government to review and issue regular reports on:

#### A. Retention and Graduation

Each campus shall, in consultation with General Administration, establish goals for retention<sup>9</sup> and graduation<sup>10</sup> for first-time, full-time students. Campuses shall also work with General Administration to develop a tracking model for the retention and graduation rates of full-time students, transfer students, and part-time students.

General Administration will report annually on the success of these various categories at both the campus and system level.

#### B. Additional Student Success Measures

Campuses shall work with the General Administration to develop common output measures of student success and achievement as a means to assess the academic progress goals set by each campus.

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<sup>8</sup>The development of a policy does not imply that a campus must allow grade replacement and/or exclusion. A policy may simply state that the campus does not allow such.

<sup>9</sup>For purposes of reporting on first-time, full-time students, retention rate shall be defined as “the percentage of first-time degree-seeking undergraduates from the previous fall who are again enrolled in the current fall.” (<http://nces.ed.gov/ipeds/glossary/>)

<sup>10</sup>For purposes of reporting, graduation rate data shall be collected as defined by “the number of students entering the institution as full-time, first-time undergraduate students in a particular year (cohort), completing their program within 150 percent of normal time to completion. It shall be calculated by race/ethnicity and gender.” (<http://nces.ed.gov/ipeds/glossary/>)

C. Review of Course Scheduling and Offerings

Campuses shall develop mechanisms to monitor whether all courses necessary for graduation are offered on a timely basis and with an adequate number of sections for a student to graduate in four years.

As a part of this review, campuses shall determine:

- If general education requirements (e.g., themes, designators, etc.) allow appropriate student progress,
- If excessive or unnecessary specification or augmentation of general education courses for certain majors places an undue burden on students changing majors, and
- If excessive GPA or course grade requirements for admission to or completion of a major are delaying student progress toward graduation.

These evaluations will be prepared on a three-year cycle beginning in Fall 2014 and will examine data from the previous three academic years. General Administration will consult with campuses to develop the reporting format and required data.

D. Advising

Campuses shall develop policies to monitor the availability of appropriate and timely academic advising, particularly for first-time undergraduates and first-semester transfer students to:

- assist students in making effective academic and career decisions
- increase the potential for students selecting appropriate courses and schedules
- provide students with assistance in selecting a major in a timely fashion
- prevent excessive changes of major
- increase students' awareness of an appropriate course load and academic assistance available to them.
- Provide information as appropriate on course selection and the impact on tuition surcharge.

This review should take place on a three-year cycle beginning in Fall 2014 and examine data from the previous three academic years. General Administration will consult with campuses to develop the reporting format and required data.

E. Early Warning System Plan

Effective in the Fall 2014 semester, each campus will have an early warning system (EWS) to alert campus personnel to signs of poor academic performance by a student or of behavior likely to lead to a student's poor academic performance. Each campus will submit a comprehensive intervention plan to General Administration that describes how students are identified by the EWS, what campus staff or faculty are notified when a student is identified by the EWS, and how the staff or faculty member is to respond. Interventions may include written communication with students, phone calls or text messages, face-to-face meetings with campus personnel, and/or formal programs involving extended student participation.

The plan should specify what interventions will be used, who will be responsible for them, how warnings will be communicated to responsible personnel, and how interventions will be tracked and reported.

Each campus will identify strategies to assess the effectiveness of its EWS and use the results for ongoing improvement.

#### IV. Regulations on Student Financial Aid and Title IV

All campuses will develop financial aid disclosure practices that will, at the minimum, include entrance and exit counseling for students receiving financial aid.<sup>11</sup>

All campus policies will be compliant with Federal Title IV Regulations, including, but not limited to, the following:

A. Common definition of the Federal Title IV regulation that defines a student as eligible for Federal financial aid for up to 150% of normal time to graduation.

Four-year degree requirements in the University of North Carolina system range from 120-128 semester credit hours. The system will use 120 hours as the common definition for defining federal financial aid eligibility, making 180 hours the limit for 150% of normal time to graduation.

Campuses will define procedures whereby a student completing 180 or more attempted hours will undergo an automatic review to determine continued federal financial aid eligibility. If the student is enrolled in a program requiring more than 120 hours, the appropriate allowance will be calculated on campus based on the exact number of credits required for that degree.

These policies must be widely distributed in all campus academic and financial aid materials.

B. Guidelines to monitor first undergraduate degree completion

Federal Title IV regulations require that campuses monitor first undergraduate degree completion and offer no additional federal grant aid (e.g. Pell, SEOG) after a student earns the initial undergraduate degree. Under federal rules, a student can take out federal loans for a second degree, if eligible. To ensure compliance, campuses must develop protocols for:

- monitoring student degree completion each term (fall, spring, summer), and
- for advising students of their status and eligibility for federal financial aid

These policies must be widely distributed in all campus academic and financial aid materials.

#### V. Compliance with the Comprehensive Articulation Agreement (CAA) with the North Carolina Community College System (NCCCS) and Transfer within the UNC System

Campuses will be fully compliant with the Comprehensive Articulation Agreement with the NCCCS.

Campuses shall develop policies that provide a student at any UNC campus who has successfully completed<sup>12</sup> the lower institution-wide division's general education requirements to be considered if applying as a transfer student to have completed the general education requirements at another UNC campus.

Any change by a campus in its General Education requirements must be consistent with the CAA.

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<sup>11</sup>All campus policies on disclosure practices must conform to UNC FIT Financial Aid and Student Account standards.

<sup>12</sup>To be eligible for inclusion in this policy, a student must have an overall GPA of at least 2.0 on a 4.0 scale at the time of transfer and a grade of "C" or better in all core courses.



VI. Information Distribution

To ensure that students receive policy information that is both comprehensive and timely, campuses must develop broad-based communications plans that inform students about:

- Recommended course loads, required numbers of earned hours, and the projected length of full- time enrollment needed to obtain the baccalaureate degrees;
- Factors that may extend the length of time to complete a degree;
- Requirements for Good Academic Standing and Satisfactory Academic Progress; and
- The course adjustment period,
- Tuition surcharge, and
- Other policies on course withdrawal, course repeat, and grade replacement or exclusion and their potential financial consequences.

All policies and procedures listed in this regulation will be effective no later than the Fall Semester, 2014.

### **Fostering Undergraduate Student Success**

The University of North Carolina’s policies on student success adopted by the Board of Governors direct constituent institutions to:

- set academic progress and degree attainment as primary outcomes;
- promote academic quality, rigor, and integrity;
- make possible “seamless” educational opportunities across the UNC campuses, with the North Carolina Community College system, and early college high schools.

Improving retention, graduation rates, and time to degree are important aspects of such policies. However, the Board of Governors also recognizes that students come into the system from a number of different life circumstances and their paths to success vary accordingly. Policies, therefore, set parameters within which a campus can best meet the needs of its diverse student populations.

#### University-Wide Policies:

The Board of Governors has adopted the following policies for all institutions comprising the University of North Carolina except the North Carolina School of Science and Mathematics.<sup>1</sup>

1. Campuses will follow the credit hour limits determined by the Board of Governors for four-year and five-year baccalaureate degree programs in UNC Policy 400.1.5[R].
2. Campuses will develop academic policies within the regulations established by the UNC General Administration on:
  - a. Satisfactory Academic Progress (SAP)
  - b. Course Adjustment Periods (“Drop/Add”)
  - c. Course Withdrawal
  - d. Grade Exclusion or Replacement
  - e. Minimum, Maximum, and Average Course Load

\*[Supersedes and Replaces Policy 400.1.5 originally entitled “Improving Retention and Graduation Rates” and this version was approved by the Board of Governors on January 11, 2013.]

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<sup>1</sup>The North Carolina School of Science and Mathematics shall track data on student attrition, completion rates of its high school curriculum, and high school graduation. See G.S. 116-235.

3. Campuses will establish a student success and support structure to review and to issue regular reports on:
  - a. retention, academic progression, graduation, and time to degree
  - b. course scheduling as it relates to whether courses required for graduation are offered on a timely basis and with an adequate number of sections and seats
  - c. course offerings and grade requirements to assess if any undue additions to general education requirements exist or if such requirements unintentionally lengthen time to graduation
  - d. the academic advisement system to ensure students receive appropriate assistance in proceeding toward graduation in a timely manner.
4. Campuses will be compliant with Title IV regulations that define student eligibility for and receipt of federal financial aid.
5. Campuses will be compliant with the Comprehensive Articulation Agreement with the NCCCS and are encouraged to develop policies that promote seamless transfer among schools in the University of North Carolina system.

These policies are designed to ensure that campus and system-wide policies and practices facilitate behaviors that support retention and timely graduation.

The President shall establish regulations to implement the requirements of this policy.